



Williams Company
2301 Silver Star Road
Orlando, FL 32804

ATTN: Subcontractors

To Whom It May Concern:

Williams Company is known for its exceptional quality, service and safety. As part of our continued efforts to strive for excellence in these areas we will be requiring all vendors/subcontractors to submit checkout packages in order to be considered for any contract. The information to be provided in these packages will be as follows:

1. Company Financial Statements including W-9s
2. Current work on hand: to include duration, start/end dates, project value, etc.
3. References: both completed work and current work
4. Insurance Certificates
 - a. General Liability
 - b. Workers Compensation

The information provided will allow Williams Company to perform its due diligence and ensure our success as well as our valued Trade Partners.

This package must be completed in order to be considered for any contract. Any missing or partial information may lead to delays in the contract process. If you have any questions or concerns please let us know.

Sincerely,

WILLIAMS COMPANY



It Will Be
Right



It Will Be
On-Time



It Will Be
Delivered With
**Incredible
Service**

2301 Silver Star Road | Orlando, FL 32804-3393
Main: 407.295.2530 | Fax: 407.297.0459

Client Service is the Foundation of Our Business | www.williamsco.com

Acknowledgement of Williams Company Checkout Package Policy

I understand that Williams Company must perform its due diligence with Trade Partners to ensure they have met all of their requirements and standards. As part of this process, Williams Company must review every Trade Partner's qualifications to establish a strong working relationship and ensure project success. In order to assist in the process I agree to the following:

I agree to:

- Provide current information
- Ensure the accuracy of that information
- Submit in a timely fashion

I understand that if I have any questions or concerns, I should discuss them with the Preconstruction Manager.

Trade Partner Printed Name: _____

Trade Partner Signature: _____

Date: _____

Contractor Checkout Package

Date: _____

Company: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Contact Person: _____

Title: _____

Phone: _____ Fax: _____

Mobile: _____ Email: _____

Work Scope (Please circle scope of work performed):

Division 2 Division 3 Division 4 Division 5 Division 6 Division 7
Division 8 Division 9 Division 10 Division 11 Division 12 Division 13
Division 14 Division 15 Division 16

Checkout Package Checklist – Please ensure that the following information is provided. Any missing or partial information may lead to delays in the contract process. If you have any questions or concerns please discuss with the Preconstruction Manager.

___ 1. References

___ 2. Financial Statements

___ 3. Current work on hand

___ 4. Insurance Certificates

Notes (For use by General Contractor Only):

Financial Statement Request

We are in the process of performing our due diligence with subcontractors to ensure they have all met our requirements. One requirement is a review by our CEO of a subcontractor's yearly financial information. This is a mandatory process we require before executing subcontracts. This information is privy only to our CEO, will remain confidential, and will never be shared with outside entities. Our records indicate that we have either never conducted a financial review of your company, or previous reviews are now out of date. Therefore, we request you provide the following information:

- 2019 Financial Statements. If you have audited financial statements, please include all CPA notes. If you do not have audited financials, please send a review or internal financials.
- In addition to your financials, please provide a letter from your BONDING COMPANY, not your agent, that specifically details your bonding rate, limits and effective dates. Please make sure they include the total of work your company currently has bonded with them.
- A copy of your W-9 indicating your company's legal name verifiable by the State's Department of Corporation

If you prefer, rather than sending your information, you may meet one-on-one to review your financial information with our CEO.

Vendor References

Please provide three General Contractor references that you have recently or are currently working with. If you are unable to provide three please provide as many as possible. **IF COMPLETED IN THE LAST SIX MONTHS PLEASE DISREGARD.**

Contact Information

Company: _____
Contact name: _____
Title: _____
Phone: _____
Mobile: _____
Email: _____
Years known/worked with: _____

Contact Information

Company: _____
Contact name: _____
Title: _____
Phone: _____
Mobile: _____
Email: _____
Years known/worked with: _____

Contact Information

Company: _____
Contact name: _____
Title: _____
Phone: _____
Mobile: _____
Email: _____
Years known/worked with: _____

Project information

Projects worked on and value:

1. _____
2. _____
3. _____
4. _____

Currently working with this Vendor?

Yes / No

Last worked with this Vendor? (MM/YYYY)

Project information

Projects worked on and value:

1. _____
2. _____
3. _____
4. _____

Currently working with this Vendor?

Yes / No

Last worked with this Vendor? (MM/YYYY)

Project information

Projects worked on and value:

1. _____
2. _____
3. _____
4. _____

Currently working with this Vendor?

Yes / No

Last worked with this Vendor? (MM/YYYY)

General Contractor References

Please provide three General Contractor references that you have recently or are currently working with. If you are unable to provide three please provide as many as possible. **IF COMPLETED IN THE LAST SIX MONTHS PLEASE DISREGARD.**

Contact Information

Company: _____

Contact name: _____

Title: _____

Phone: _____

Mobile: _____

Email: _____

Years known/worked with: _____

Contact Information

Company: _____

Contact name: _____

Title: _____

Phone: _____

Mobile: _____

Email: _____

Years known/worked with: _____

Contact Information

Company: _____

Contact name: _____

Title: _____

Phone: _____

Mobile: _____

Email: _____

Years known/worked with: _____

Project information

Projects worked on and value:

5. _____

6. _____

7. _____

8. _____

Project information

Projects worked on and value:

5. _____

6. _____

7. _____

8. _____

Project information

Projects worked on and value:

5. _____

6. _____

7. _____

8. _____

Currently working with this GC? **Yes / No**

Last worked with this GC? (MM/YYYY)

Currently working with this GC? **Yes / No**

Last worked with this GC? (MM/YYYY)

Currently working with this GC? **Yes / No**

Last worked with this GC? (MM/YYYY)

TO: ALL SUBCONTRACTORS

FROM: INSURANCE COORDINATOR, Williams Company-Southeast

RE: CERTIFICATE OF INSURANCE REQUIREMENTS

Williams Company-Southeast has very specific insurance requirements that must be met by all subcontractors. These requirements are detailed below. Subcontractors must provide insurance certificates demonstrating all of Williams' requirements are met **before** beginning any on-site work and before receiving any payments. Please notify Williams' project manager immediately if your company cannot meet any of these requirements.

Your insurance certificate must be faxed to **407-297-0459**. Failure to fax insurance certificates to the number provided will delay subcontractors' authorization to work on site and will delay release of payments. Any questions you may have regarding Williams Company-Southeast insurance requirements can be addressed by our Insurance Coordinator by calling **407-295-2530**.

Specific Insurance Requirements are as follows:

1. The Insurance provided by each subcontractor under the terms of your contract shall be **PRIMARY and NON CONTRIBUTORY** to any coverage available to Williams or other additional insured under any other insurance held by Williams.
2. The Certificate Holder must be Williams Company-Southeast
3. Williams Company-Southeast requires different liability coverage amounts based upon the amount of your contract. They are as follows:
Tier 1 – Contract amounts below \$100,000 – Minimum of \$2M General Aggregate & \$1M Each Occurrence
Tier 2 – Contract amounts of \$100,000 to \$500,000 – Minimum of \$3M General Aggregate & \$2M Each Occurrence
Tier 3 – Contract amounts of \$500,000 and above – Minimum of \$6M General Aggregate & \$5M Each Occurrence
4. Workers Compensation coverage is required from all subcontractors with minimum coverage limits as follows: \$100,000 EL Each Accident; \$500,000 EL Disease – Policy Limit; \$100,000 EL Disease – Ea Employee
5. Auto Liability insurance is required from all subcontractors with minimum coverage limits as follows: \$1,000,000 Combined Single Limit.
6. Additional Insureds are required on General and Excess/Umbrella liability policies. All subcontractors are to name Williams Company-Southeast AND the Project's Owner as Additional Insureds on their insurance certificates. If the subcontractor's contract amount is \$100,000 or higher, then, in addition to the certificate, they must also provide the actual Additional Insured Endorsement indicating both as Additional Insureds on all liability policies.
7. Waiver of Subrogation in favor of Williams Company-Southeast and the project owner is required on all Liability and Workers Compensation policies. All subcontractors are to indicate on their insurance certificates a Waiver of Subrogation in favor of Williams Company-Southeast and the project owner. If the subcontractor's contract amount is \$100,000 or higher, then, in addition to the certificate, they must also provide the actual Waiver of Subrogation Endorsement indicating the Waiver of Subrogation has been provided on all liability and workers compensation policies.
8. The following statement is to be provided on all insurance certificates: Williams Company-Southeast and its affiliated & subsidiary companies, officers, directors, agents, and employees; and the project's Owner are named as Additional Insureds with respect to General Liability, completed operations & Umbrella policies. Waiver of Subrogation is included in favor of the project owner and Williams Company-Southeast its affiliated & subsidiary companies, officers, directors, agents, & employees with respects to the General Liability & Workers' Compensation & Excess Liability policies. Policies are primary & non-contributory for all claims arising from insured's work. Policy shall not contain an exclusion limiting or removing liability arising out of residential construction.

CERTIFICATE OF LIABILITY INSURANCE

Tier 3 Sample

DATE: (MM/DD/YY)

PRODUCER <p style="text-align: center;">Agent's Name & Mailing Address</p>	THIS CERTIFICATE IS USED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW:
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INSURED SUBCONTRACTOR Subcontractor Address	<p style="text-align: center;">COMPANIES AFFORDING COVERAGE</p> <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:15%; text-align: center;">COMPANY A</td> <td style="text-align: center;">Name of Insurance</td> </tr> <tr> <td style="text-align: center;">COMPANY B</td> <td>Insurance companies providing coverage must be rated at least A-VIII or B+ 10</td> </tr> <tr> <td style="text-align: center;">COMPANY C</td> <td>by A.M. Best Only Exception: State WC Funds</td> </tr> </table>	COMPANY A	Name of Insurance	COMPANY B	Insurance companies providing coverage must be rated at least A-VIII or B+ 10	COMPANY C	by A.M. Best Only Exception: State WC Funds
COMPANY A	Name of Insurance						
COMPANY B	Insurance companies providing coverage must be rated at least A-VIII or B+ 10						
COMPANY C	by A.M. Best Only Exception: State WC Funds						

COVERAGES: THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED, NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

CO LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
	GENERAL LIABILITY <input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input checked="" type="checkbox"/> OCCUR A OWNER'S & CONTRACTOR'S PROT X CONTRACTURAL LIABILITY <input checked="" type="checkbox"/> AGGREGATE LIMITS PER PROJECT	POLICY NUMBER			GENERAL AGGREGATE \$ 2,000,000 PRODUCTS—COMP/OP AGG \$ 1,000,000 PERSONAL & ADV INJURY \$ 1,000,000 EACH OCCURRENCE \$ 1,000,000 FIRE DAMAGE (Any one fire) \$ 50,000 MED EXP (Any one person) \$ 5,000 COMBINED SINGLE LIMIT \$ 1,000,000 BODILY INJURY (Per Person) \$
	AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> ANY OWNED AUTO A <input type="checkbox"/> SCHEDULED AUTOS <input checked="" type="checkbox"/> HIRED AUTO <input checked="" type="checkbox"/> NON-OWNED AUTOS	POLICY NUMBER			BODILY INJURY (Per Accident) \$ PROPERTY DAMAGE \$ AUTO ONLY—EA ACCIDENT \$ OTHER THAN AUTO ONLY: \$ EACH ACCIDENT \$ AGGREGATE \$ EACH OCCURRENCE \$ 4,000,000 AGGREGATE \$ 4,000,000
	GARAGE LIABILITY <input type="checkbox"/> ANY AUTO _____	POLICY NUMBER			EL EACH ACCIDENT \$ 100,000 EL DISEASE—POLICY LIMIT \$ 500,000 EL DISEASE—EA EMPLOYEE \$ 100,000
	EXCESS LIABILITY <input checked="" type="checkbox"/> UMBRELLA FORM <input type="checkbox"/> OTHER THAN UMBRELLA FORM	POLICY NUMBER			
	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY A THE PROPRIETOR / PARTNER / EXECUTIVE OFFICERS ARE: INCL EXCL	POLICY NUMBER			<input checked="" type="checkbox"/> WC STATUTORY LIMITS _____ OTHER EL DISEASE—EA EMPLOYEE \$ 100,000
	OTHER	POLICY NUMBER			

DESCRIPTION OF OPERATIONS/LOCATION/VEHICLES/SPECIAL ITEMS
ALL PROJECTS: *Williams Company-Southeast, its affiliates & subsidiary companies, officers, directors, agents, employees and the Project Owner are named as Additional Insured with respect to General Liability, Completed Operations & Umbrella policies. Waiver of Subrogation is included in favor of Williams Company-Southeast, its affiliated & subsidiary companies, officers, directors, agents & employees and the project owner with respects to the General Liability & Worker's Compensation & Excess Liability policies. Policies are primary & non-contributory for all claims arising from Insured's work. Policy shall not contain an exclusion limiting or removing liability arising out of residential construction.*

CERTIFICATE HOLDER <p style="text-align: center;">Williams Company-Southeast 2301 Silver Star Road Orlando, FL 32804</p> Fax certificate to: 407-297-0459	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING COMPANY WILL MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT. AUTHORIZED REPRESENTATIVE
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NO COPYRIGHT INFRINGEMENT INTENDED

Note:

Contracts of \$100,000 or more must also provide ACTUAL ENDORSEMENTS for Additional Insureds & Waiver of Subrogation